

PATENT

Attorney Docket No. BSC-165

(1002/222)

10/21/02 14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Haarala et al.

CONFIRMATION NO.: 3578

SERIAL NO.:

09/838,618

GROUP NO.:

3754

FILING DATE:

April 19, 2001

EXAMINER:

P. Buechner

TITLE:

Catheter Slit Valves

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicants hereby make of record the references listed on the accompanying PTO Form 1449 for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the references are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

| (1) | within three (3) months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the first Office action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. 1.114; or |
|-----|--|
| (2) | after the period defined in (1) but before the mailing date of a final action or a notice of allowance under 37 C.F.R. 1.311, and |
| | the requisite Statement is below, OR |
| | the requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included herein, or |
| (3) | after the mailing date of a final action or notice of allowance but before the payment of the issue fee, AND RECEIVED |

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Second Supplemental Information Disclosure Statement

Serial No.: 09/838,618

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STATEMENT

As required under §1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- 1. [E]ach item of information contained in the Information Disclosure

 Statement was cited in a communication from a foreign patent office in a counterpart foreign application **not more than** three months prior to the filing date of the Information Disclosure Statement; or
- 2. [N]o item of information contained in the Information Disclosure
 Statement was cited in a communication from a foreign patent office in a
 counterpart foreign application, and to the knowledge of the person
 signing this Statement after making reasonable inquiry, no item of
 information contained in the Information Disclosure Statement was known
 to any individual designated in §1.56(c) more than three months prior to
 the filing of the Information Disclosure Statement.

FEE AUTHORIZATION

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 20-0531. Any overpayments should be credited to said Deposit Account.

Date: October 7, 2002

Reg. No. 42,545

Tel. No.: (617) 248-7675

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John V. Forcier

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Respectfully submitted,

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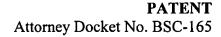
Boston, Massachusetts 02110

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TRANSMITTAL FORM

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|-------------------------|----------------|---|
| Application Serial No.: | 09/838,618 | |
| Filing Date: | April 19, 2001 | |
| First Named Inventor | Haarala | |
| Group Art Unit | 3754 | |
| Examiner Name | P. Buechner | |
| Attorney Docket No. | BSC-165 | |

| ENCLOSURES (check all that apply) | | | | | | | | | | |
|---|---|----------------|---|---|---|---|--|--|--|--|
| □ F | ee Transmittal Form | Copy of Notice | | | | Appeal Communication to Board of Patent Appeals and Interferences | | | | |
| | ☐ Check Attached ☐ Copy of Fee Transmittal Form | | Formal Drawing | g(s) | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | | |
| | Amendment/Response | | and Accompany Convert to a Pro | ition Routing Slip (PTO/SB/69) Accompanying Petition to nvert to a Provisional | | Status Letter | | | | |
| | After Final | Application | | | ⊠ | Return Receipt Postcard | | | | |
| | ☐ Affidavits/declaration(s) ☐ Letter to Official ☐ Draftsperson | | | Power of Attorney (Revocation of Prior Powers) | | Certificate of First Class Mailing under 37 C.F.R. 1.8 | | | | |
| | including Drawings [Total Sheets] | | Terminal Discla | imer | | Additional Enclosure(s) (please identify below) | | | | |
| | Extension of Time Request | | Executed Declaration and Power of Attorney for Utility or Design Patent Application | | | | | | | |
| Ir | Second Supplemental Iformation Disclosure Statement PTO-1449 | | Small Entity Statement | | DE | OCT 1 8 2002 | | | | |
| \boxtimes | Copy of IDS Citations | | Request for Refund | | 2005 | | | | | |
| | Certified Copy of Priority Document(s) | | After Allowance Communication to Group | | OCT 1 8 ZUGE TECHNOLOGY CENTER R3700 | | | | | |
| | Response to Missing Parts/ Incomplete Application | | | | TECH | NOTO . | | | | |
| CORE | RESPONDENCE ADDRESS | | | SIGNATURE BL | оск | | | | | |
| Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100 | | | | Date: October 7, 20 Reg. No. 42,545 Tel. No.: (617) 248- Fax No.: (617) 248- | -7675 | Respectfully submitted, John V. Forcier Actorney for Applicants Testa, Hurwitz/& Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110 | | | | |





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CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on this 7th day of October, 2002.

Diane Racicot

Commissioner for Patents Washington, D.C. 20231

Sir:

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Submitted herewith are:

- 1. Transmittal Form (1 page);
- 2. Second Supplemental Information Disclosure Statement (2 pages);
- 3. Second Supplemental Form PTO-1449 (1 page);
- 4. Reference Labeled B1, B2, C1;
- 5. and a return receipt postcard.